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Date: October 25, 2000

Docket No.: 2907-104P

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

As authorized by the inventor(s), transmitted herewith for filing is a continuation-in-part application of Appl. No. 09/359,686 filed on July 23, 1999.

Inventor(s): Robert Scott NIEBOER
Pedro (Peter) V. BALCARCE
Ivan N. ZHIDOV
Micah James ELDRED

For: AUTOMATED SYSTEM FOR CONDITIONAL ORDER
TRANSACTIONS IN SECURITIES OR OTHER
ITEMS IN COMMERCE

Enclosed are:

- ☒ A specification consisting of forty-nine (49) pages
- ☒ Ten (10) sheet(s) of formal drawings
- ☒ Executed Declaration (☒ Original ☐ Photocopy)
- ☐ Preliminary Amendment
- ☒ An assignment of the invention to 5th Market, LLC
- ☐ Certified copy of
- ☐ Priority of application No(s). filed in on
is claimed under 35 U.S.C. § 119. See attached copy of the
Letter claiming priority filed in the prior application on
_____.

- ☐ An Information Disclosure Statement and PTO-1449 form(s) are attached hereto for the Examiner's consideration.
- ☒ A statement (☒ Original ☐ Photocopy) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27.
- ☐ Amend the specification by inserting before the first sentence

--This application is a continuation-in-part of Application No. 09/359,686 filed on 09/25/00, the entire contents of which are hereby incorporated by reference.--

- ☐ Amend the specification by inserting before the first sentence

--This application is a continuation-in-part of PCT international application No. PCT/00/00000 that has an international filing date of 09/25/00 that designated the United States, the entire contents of which are hereby incorporated by reference.--

- ☐ An extension of time for 0 () month(s) until 09/25/00, has been submitted in parent Application No. 09/359,686 in order to establish copendency with the present application.
- ☐ Other: _____

The filing fee has been calculated as shown below:

			LARGE ENTITY	SMALL ENTITY
BASIC FEE			\$710.00	\$355.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	50-20 =	30	x 18 = \$0.00	x 9 = \$270.00
INDEPENDENT CLAIMS	8-3 =	5	x 80 = \$0.00	x 40 = \$200.00
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$270.00	+ \$135.00
TOTAL			\$0.00	\$825.00

CERTIFICATION OF MAILED DOCUMENTS(S) TO USPTO



The undersigned hereby certifies that the correspondence indicated on the attached photocopy of the postcard receipt was reviewed and found to be in proper order for filing in the USPTO by

☒ Certificate of Mailing Procedures (This correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC; on the below indicated date.)

_____ Hand Carry to the USPTO mailroom by _____ on the below indicated date.

_____ Telefaxed to the USPTO on the below-indicated date in accordance with the procedures for faxing documents to the USPTO. Upon successful transmission to the USPTO, a copy of the facsimile Transaction Report will be attached herewith.

Signed: Anthony Birn Date: 10/25/00

Serial Number: New

Attorney Docket No.: 2907-104P

Responsible Atty./Secy.: ALB / bb

Due Date: n/a

- ATB ☒ A check in the amount of \$865.00 to cover the filing fee and recording fee (if applicable) is enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.
- ☒ Send correspondence to:

ANTHONY LAWS BIRCH
6915 Barret Lane
Bethesda, MD 20814

Respectfully submitted,

By Anthony Birch
Anthony L. Birch, #26,122

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ALB/bb
2907-104P

Attachments

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Certificate of Transmission	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:	
Commissioner of Patents and Trademarks, Washington, DC 20231	
Signature:	<u>Anthony Birch</u>
Printed Name:	<u>Anthony L. Birch</u>
Date:	<u>10/25/00</u>